

FIG. 1

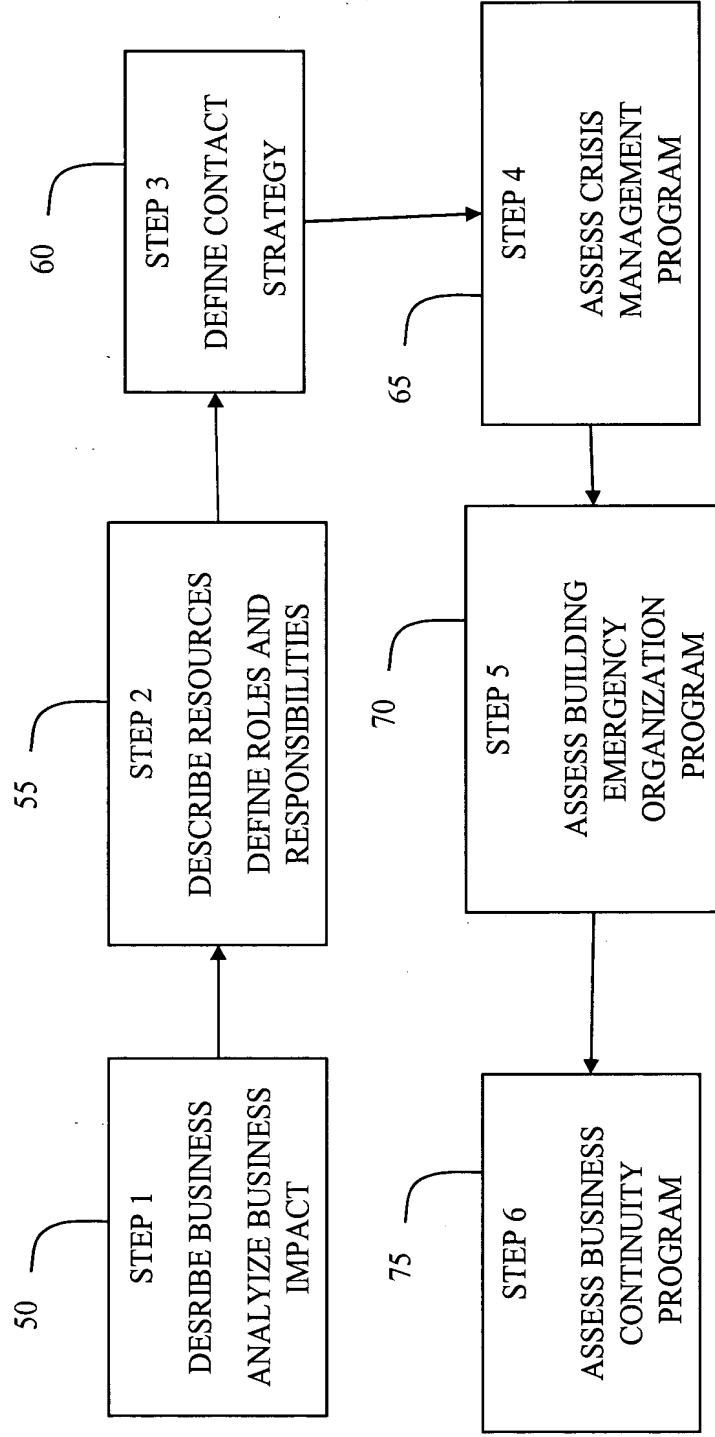


FIG. 2

80

Continuity Business Description

Name:

85

Business Description:

90

Business Manager:

95

Find

Primary Location:

100

Add

Delete

Recovery Location:

105

Add

Delete

Business Process:

110

Corporate Marketing

Products:

115

FX

EBP Code:

120

1234

FIG. 3

Current Production Seats		Specialized Recovery Seal	Note: % of Required Seats field is automatically calculated		Generic Recovery Seal	Non-JPMC / Remote Access	% of Required Seats
Intraday	100	75			10	15	95%
Next Day	0	0			0	0	0%
1 Week	0	0			0	0	75%
30 Days	0	0			0	0	0%

Production Application Listing		Criticality	Sensitivity	Information Owner
Name of Application		Non-critical	Sensitive	Christine O'Connor
Application Control Policy		Critical	Sensitive	Karl Yapp
Check Processing Application		Critical	Non-sensitive	Nina Boxer
Check Verification	280			

External Vendor Dependencies		Vendor Primary Location	Vendor Recovery Location
Name of Vendor	Contact	New York, NY	Chicago, IL
AT&T	Joe Smith		

Outside Service Providers		Vendor Primary Location	Vendor Recovery Location
Name of Vendor	Contact	Little Rock, AK	Minneapolis, MN
Axiom Corporation	Eugene Ret		
BankingPlus	Judy Mattich	Santa Clara, CA	Newark, NJ

Internal Vendor Dependencies		Vendor Primary Location	Vendor Recovery Location
Name of Vendor	Contact	New Hyde Park, NY	New York, NY
ETS DCS Security	Tristan Schnanwyler		

Service Provider Technical Recovery

List UAT and Development Applications (which are supported in the Client Server Application) that are required for recovery

Development and UAT Environments Required		Criticality	Sensitivity	Information Owner
Name of Application		Critical	Sensitive	susan hale
BankingPlus				

400 405 410 415 420 425 430 435 440 445 450	455 460 465 470 475	455 460 465 470 475	455 460 465 470 475	455 460 465 470 475	455 460 465 470 475
Role	Assigned by	Date Assigned	Assigned to	Date Accepted	
BEO Chairperson	IRM admin	03-15-2002	marin ursu		
Business Executive	IRM admin	03-14-2002	IRM admin	03-14-2002	
Business Unit Manager					
Continuity Coordinator					
Corporate Real Estate					
Facilities Regional Manager	IRM admin	03-14-2002	IRM admin	03-14-2002	
Fire and Safety Executive					
Human Resource Coordinator	IRM admin	03-14-2002	IRM admin	03-14-2002	
Information Risk Manager	IRM admin	03-14-2002	IRM admin	03-14-2002	
Security Regional Manager	IRM admin	03-14-2002	IRM admin	03-14-2002	

FIG. 6

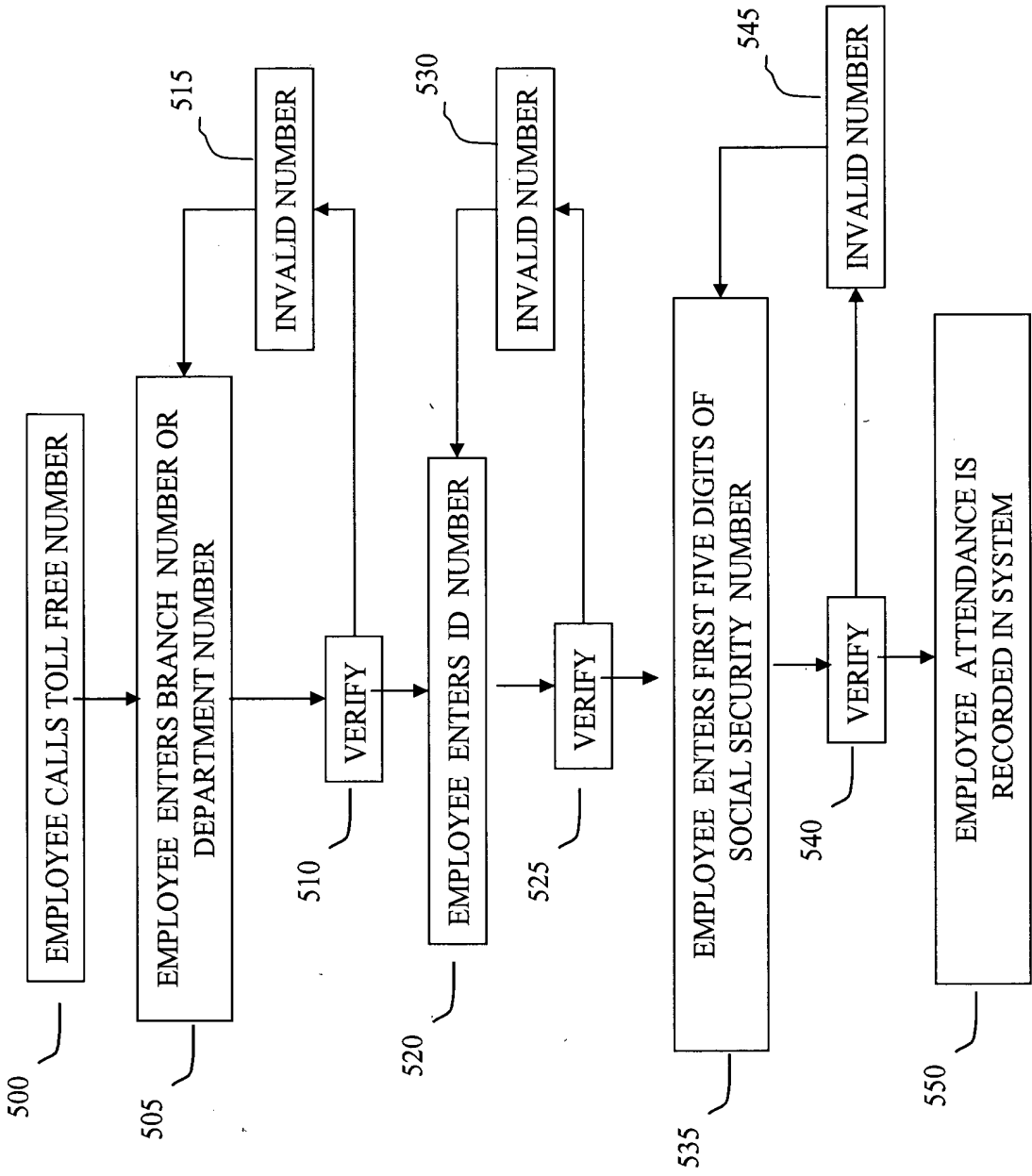


FIG. 7

<p>600</p> <p>1.Crisis Management: PLAN</p>	<p><u>Yes</u></p>	<p><u>No</u></p>	<p><u>N/A</u></p>	<p><u>Comments</u></p>
<p>625</p> <p>Does the program include the following information?</p> <ul style="list-style-type: none"> •Key contact information •Escalation Procedures •Incident Management Process •Checklist of Team member responsibilities •Emergency hotline <p>If yes, provide the documented processes/procedures.</p>				
<p>630</p> <p>Are emergency supplies available at pre-determined locations (e.g., walkie-talkies, flashlights, Nextel's, first aid kits)?</p>				

FIG. 8

<div data-bbox="430 1239 470 1302">640</div> <div data-bbox="576 1785 617 1848">645</div> <div data-bbox="544 1354 592 1711"> •Crisis Management: TEST </div>	Yes	No	N/A	Comments
<div data-bbox="706 1785 747 1848">650</div> <div data-bbox="609 1060 690 1711"> Was a “mock” crisis scenario presented to the Team? If yes, please describe how it was communicated. </div>				
<div data-bbox="836 1785 876 1848">655</div> <div data-bbox="722 892 836 1711"> Did each Team Member describe how they would respond to the event drawing upon their knowledge of agreed-upon procedures as well as their experience of past events? </div>				
<div data-bbox="852 1228 966 1711"> Have all Team members been reached? If yes, track how long it takes to reach entire Team and document any difficulties encountered. </div>				

FIG. 9

660	<ul style="list-style-type: none"> •Crisis Management: ACTIVATE 	Yes	No	N/A	Comments
665	Has the Chairman notified Corporate Continuity Management to execute the crisis call tree and to assemble the crisis team via the conference bridge?				
670	Have the following area representatives been notified? <ul style="list-style-type: none"> •Command Center •EMCC (when a Northeast Data Center is affected) •Team Leaders •Building Emergency Organization •Business Continuity Coordinators •Lines of Business Area Representatives / Business Crisis Command Teams •Corporate Crisis Command Team •Technology Risk Management and •Other R&MMFS support teams, as needed 				
675	Is sufficient LOB support available for the duration of the emergency?				
680	<ul style="list-style-type: none"> •Have the lines of businesses and associated personnel been notified using the following vehicles? Dialogic automated telephone notification system •Hot Line •Lotus Notes •Intranet •External email 				
685	Has a finalized personnel headcount by Human Resources and/or other authorized personnel been conducted?				

FIG. 10

725 Senior Business Executive	730 Line Of Business	700 Continuity Risk Status										745 Board Issues
		705 Crisis Management			705 Building Emergency Organization			715 Business Continuity			740 Corrective Action Plan	740 Risk Acknowledgment
		Plan	Test	Activation	Plan	Test	Activation	Plan	Test	Activation		
732 Mickey Boland Phil Catania	735 Compliance & Risk Management	Compliant	Compliant	Compliant	Not Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant
	Enterprise Check & Deposit Services	Compliant	Compliant	Compliant	Not Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant
	I Solutions	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant	Compliant

720

<input checked="" type="checkbox"/>	Compliant
<input checked="" type="checkbox"/>	In Progress
<input checked="" type="checkbox"/>	Not Compliant with Compensating Controls
<input checked="" type="checkbox"/>	Not Compliant
<input type="checkbox"/>	Not Assigned
<input type="checkbox"/>	Not Applicable
<input checked="" type="checkbox"/>	CAP or Risk Ack. in place
<input checked="" type="checkbox"/>	No CAP or Risk Ack. in place